ATTORNEY DOCKET NO.: 12038

PATENT APPLICATION

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Thomas L. BASCOM, et al.

Confirmation No.: 7770

Application No.: 10/050,515

Examiner: R. Stevens

Filing Date: January 18, 2002

Group Art Unit: 2176

Title: A SYSTEM AND METHOD FOR COLLECTING, STORING, MANAGING AND PROVIDING CATEGORIZED INFORMATION RELATED TO A DOCUMENT OBJECT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is Submitted:

☐ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)

☒ under 37 CFR 1.97(c) together with either a:
    ☐ Statement under 37 CFR 1.97(e), or
    ☒ a $180.00 fee under 37 CFR 1.17(p), or
(after the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

☐ under 37 CFR 1.97(d) together with a:
    ☐ Statement under 37 CFR 1.97(e)(1) or (2), and
    ☐ a $180.00 petition fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account **50-2849** the sum of $ **180.00** . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **50-2849** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 – Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, and English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.
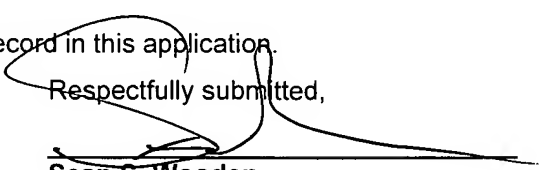
It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

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Date: **August 9, 2005**

WAS:114589.1

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PATENT & TRADEMARK OFFICE

FORM PTO 1449	ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
	12038	10/050,515	7770

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	APPLICANT Thomas L. BASCOM, et al.		
	FILING DATE 1/18/2002	GROUP 2176	

REFERENCE DESIGNATION   U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A				
	1B				
	1C				
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				
	1L				
	1M				
	1N				

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1O					☐
	1P					☐
	1Q					☐

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1R	Goldfarb, Charles F., et al., "The XML Handbook", Third Edition, Prentice Hall PTR, Upper Saddle River, New Jersey, (selected portions/pages only) 2001.
	1S	
	1T	

EXAMINER	DATE CONSIDERED

Rev 4/04 (PTO 1449)

WAS:114590.1